The purpose of this questionnaire is to collect data which will quantify the proportion of prescribed antiretroviral therapy actually taken within the last 3 days in order to examine drug effect during the analysis phase of a study protocol. In order to have confidence in the data that will be collected with this instrument, the process through which these data are collected has been standardized. Please read and observe the following directions.

QUESTION 1-7 INSTRUCTIONS:

Who administers? Any member of the professional clinical care or research team who has experience filling out other ACTG study forms.

Who is questioned? The research study participant’s primary caregiver (the person responsible for administering the prescribed drugs at home). If the primary caregiver is not present for a particular study visit when this form is scheduled, the form should be marked as such (below) and the assessment omitted.

How is the questionnaire administered? The questionnaire has very specific introductory comments (and prompts) which should be read as stated, item after item, until the assessment is completed. No interruptions for primary caregiver education should occur; any necessary or additional education or counseling should take place after the questionnaire is completed.

The accuracy of self report is very good if the attitude of the interviewer is non-judgemental and supportive. The form has introductory statements to set this tone. However, since information about erratic adherence may be elicited by the survey, what interaction happens after the questionnaire is completed is critically important. The attitude of the clinical response, the manner in which information is subsequently corrected, and the nature of behavioral counseling will absolutely influence future validity. It is imperative that clinical teams review the characteristics of therapeutic relationships and strive to adopt these practices in promoting drug adherence in study participants.

QUESTIONS 8-10 INSTRUCTIONS:

These questions capture the general reasons for non-adherence linked to each drug taken. In this step, the interviewer will collect information on reasons why the study participant may be having difficulty taking each agent.

This form should be completed for study participants < 13 years of age. For study participants ≥ 13 years of age, complete the ADOLESCENT ADHERENCE QUESTIONNAIRE form (QL5022).
1. Was the questionnaire completed at this visit? .................................................. (1-Yes, 2-No) □
   If Yes, go to question 2.
   If No, complete ‘a’ and STOP.
   a. Indicate the reason the questionnaire was not completed:  
      1-Study participant refused
      2-Primary caregiver refused
      3-Primary caregiver missed clinic visit
      4-There was not enough time
      5-Primary caregiver not available
      9-Other reason, specify
   If Other reason, specify [30]: ____________________

2. Who responded to the questions?............................................. 11-Primary caregiver
   12-Study participant
   13-Study participant and primary caregiver jointly
   14-Study participant and other individual
   15-Biological mother
   16-Biological father
   17-Other relative, specify
   18-Adoptive parent, specify
   19-Foster parent, specify
   99-Other, specify
   If ‘17’, ‘18’, ‘19’ or ‘99’, specify [30]: ____________________

3. Does the study participant know his/her HIV status?.............. 1-Yes
   2-No
   3-Information not available/Not known

4. Who is responsible for administering the medications?.... 1-Primary caregiver solely responsible
   2-Primary caregiver and other individual
   3-Study participant and primary caregiver jointly
   4-Study participant and other individual
   9-Other, specify
   If Other, specify [30]: ____________________

5. Was the study participant perinatally infected? ..................... 1-Yes
   2-No
   3-Information not available/not known

09-19-06
6. Since the last visit, did the study participant or primary caregiver utilize any of the following aids for improving adherence? ................................................ (1-Yes, 2-No) □

If No, go to question 7.

If Yes, answer 'Yes', 'No' or 'Not known' to each of the following and continue.

(1-Yes, 2-No, 3-Not known)

a. Labels: .......................................................................................................................... □
b. Calendars: ...................................................................................................................... □
c. Pill boxes: ...................................................................................................................... □
d. Beepers: ........................................................................................................................ □
e. Monitoring caps (MEMS): ............................................................................................ □
f. Timers: .......................................................................................................................... □
g. Programmable wrist watches: ...................................................................................... □
h. Diary: ............................................................................................................................ □
i. “Buddy system”: ........................................................................................................... □
j. PEG/gastrostomy tube: ................................................................................................. □
k. Activity of daily living triggers, specify: ....................................................................... □

Specify[30]: ...................................................................................................................... □
l. Other, specify: .............................................................................................................. □

Specify[30]: ......................................................................................................................
INSTRUCTIONS FOR COMPLETION OF MEDICATION TABLE

- **Columns A-D:** Prior to the study visit, the study nurse should fill in the information in these columns for which adherence information is being collected as specified by the protocol.
- **Column A:** List the drug name (if known or, if double-blinded study, record as marked on bottle).
- **Column B:** List the eight digit drug code for the drug listed in Column A. Refer to the last page for the list of most commonly used anti-HIV medications. Refer to Appendix 3 or the Drug Code Lookup Program at the DMC Web Site (http://www.fstrf.org) for any medications not listed.
- **Column C:** List the drug color, type (blue pill, pink liquid, etc.) and note any special identifying labels.
- **Column D:** List the expected number of doses per 24-hour period. This refers to the schedule (e.g. 3 times per day, 4 times per day) and not the number of pills. Particulars of the schedule will not be addressed (e.g. TID and q8 hr. would both be recorded as 3 times per day).
- **Columns E-I:** This information is to be obtained from the study participant or primary caregiver in the subsequent interview. Refer to “Scripts for Pediatric Adherence Questionnaire” for completing the Medication Table. This document is located in the Forms Instruction section of the CRF Notebook.

7. **MEDICATION LIST TABLE:** Do not key column C.

<table>
<thead>
<tr>
<th>Identification Codes</th>
<th>Doses Missed</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-Volunteered without prompt</td>
<td>Enter “-1” if study participant/primary caregiver isn’t sure if study participant missed any doses.</td>
</tr>
<tr>
<td>2-Volunteered with prompt</td>
<td>Enter “0” if no doses were missed.</td>
</tr>
<tr>
<td>3-Acknowledged when reminded</td>
<td></td>
</tr>
<tr>
<td>4-Did not acknowledge</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Complete Prior to Visit</th>
<th>Complete During Interview</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>B</td>
</tr>
<tr>
<td>----------------------------</td>
<td>----------------------------</td>
</tr>
<tr>
<td>Drug Name(s) [30]</td>
<td>Drug Code [8]</td>
</tr>
<tr>
<td>a.</td>
<td></td>
</tr>
<tr>
<td>b.</td>
<td></td>
</tr>
<tr>
<td>c.</td>
<td></td>
</tr>
<tr>
<td>d.</td>
<td></td>
</tr>
<tr>
<td>e.</td>
<td></td>
</tr>
<tr>
<td>f.</td>
<td></td>
</tr>
<tr>
<td>g.</td>
<td></td>
</tr>
<tr>
<td>h.</td>
<td></td>
</tr>
</tbody>
</table>
8. Since the last visit, when was the last time you or your child missed a dose of any of these medications? 

   At Entry: When was the last time you or your child missed a dose of any of these medications? 
   - 1-Never 
   - 2-During the previous 2 weeks 
   - 3-During the last month 
   - 4-Over a month ago 
   - 5-Don’t remember 

   If ‘2’-‘5’, read instructions below and go to question 10. 
   If ‘1’, continue. 

INSTRUCTIONS FOR COMPLETION OF DRUG SPECIFIC TABLE: 
Enter the drug code name of each antiretroviral drug that the study participant is receiving in the space provided at the top of each column. Refer to the chart below for the list of most commonly used anti-HIV medications. Refer to Appendix 3 or the Drug Code Lookup Program at the DMC Web Site (http://www.fstrf.org) for any medications not listed. 

Identification of Reasons for Non-Adherence: 
READ the following paragraph to the primary caregiver: 
“Many people at one time or another have trouble with these medications. We would like to better understand the things that make giving medications hard for families. These are some of the reasons others have identified which have made it difficult to take [give] all of the HIV medicines.” 

Show and read the list of reasons to the study participant or primary caregiver. 

After the list is read, ask the following question for each medication: 

9. Since the last visit, have any of the reasons read to you been a problem or caused a situation that make it hard to take or to give every dose of ______________________ (medication name or characteristics) every day? 
   - 1-Yes, 2-No 
   (Refer to the chart in question 10 for a list of possible problems.) 

   At Entry: Are there any problems or situations that make it hard to take or to give every dose of medication every day? 
   - If No, STOP. 
   - If Yes, continue. 

   If “Yes,” enter the frequency code in question 10 for each reason. 
   If “No,” go to the next drug. 
   For data entry, use the tab key after the last entry on the page. 

** DRUG CODE REFERENCE ** 

Anti-HIV Drugs 

Insert current drug list here.
## DRUG SPECIFIC ADHERENCE DIFFICULTIES:

**Enter Drug Code and Name (Refer to page 5 for drug codes):**

**DO NOT KEY DRUG NAME**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Drug #1 Name [30]:</strong></td>
<td><strong>Drug #2 Name [30]:</strong></td>
<td><strong>Drug #3 Name [30]:</strong></td>
<td><strong>Drug #4 Name [30]:</strong></td>
<td><strong>Drug #5 Name [30]:</strong></td>
</tr>
</tbody>
</table>

10. Has there been a problem or difficulty identified with this drug since the last visit? (1-Yes, 2-No) ……………

### FOR QUESTIONS 10a - 10n:
Indicate the frequency with which each listed reason for non-adherence occurs. This needs to be done for each antiretroviral drug the study participant is taking. Use the following codes:

**Frequency Codes:**

- 0-Never a problem
- 1-Hardly ever a problem (1-2 times per month)
- 2-Frequent problem (1-2 times per week)
- 3-Almost always a problem (≥ 3 times per week)

<table>
<thead>
<tr>
<th>Reason</th>
<th>Code 0</th>
<th>Code 1</th>
<th>Code 2</th>
<th>Code 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Can't get drug (drugstore doesn't have supply)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Didn't refill; ran out</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c. Taste, can't get it down, spits up, amount (pills or liquid)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d. Forgot</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e. Caused physical effects (rash, pain, headache, etc.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f. Scheduling - interferes with lifestyle (meals, school, sleep)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>g. Child refuses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>h. Multiple caretakers</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>i. Concerns about disclosure</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>j. Sick with other illness</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>k. Doesn't remember/not sure if dose was taken</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>l. Fell asleep</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>m. Away from home</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>n. Other, specify</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Specify [30]:

---

Language: **E**

Date Form Keyed (DO NOT KEY): _____ / _____ / _____